

The Foundations of Mathematics

Lecture Seven

Field's Programme

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Field's Programme

Benacerraf's Dilemma & The Indispensability Argument

Introducing Field's Fictionalism

Some Details: Newtonian Physics

Two Initial Problems

Field's Programme versus Hilbert's Programme

Benacerraf's Dilemma: Semantics

- We are pushed towards platonism by our best semantics for mathematics
 - A good semantics for mathematics will treat existential claims in maths in the same way that they are treated in other parts of our language
 - So 'There is a prime number greater than 17' says that a certain mathematical object **exists**
 - So since 'There is a prime number greater than 17' is true, mathematical objects exist

Benacerraf's Dilemma: Epistemology

- We are pushed towards nominalism by our best epistemology for mathematics
 - If mathematical entities exist, then they are abstract
 - But it is a mystery how we could know anything about abstract objects
 - So if maths really is about mathematical entities, then it is a mystery how we can know anything about maths

The Indispensability Argument

- The **Indispensability Argument** is an argument for platonism
 - (1) We should believe our best scientific theories
 - (2) Mathematics is indispensable to our best scientific theories
 - (3) Our theories get confirmed or disconfirmed **as whole theories**

∴ (4) We should believe that there are some mathematical objects
- Any nominalist will have to reject at least one of (1)–(3)

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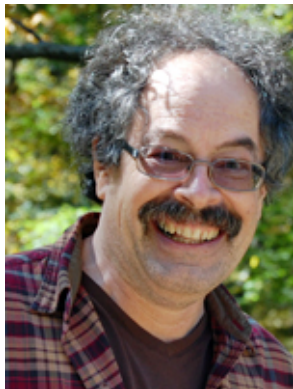
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Field's Fictionalism

- Field is a nominalist: he does not believe in mathematical objects
- But Field also thinks that our mathematical theories are ontologically committed to mathematical objects
 - Our mathematical theories can be true only if mathematical objects exist
- So Field concludes that our mathematical theories are false!
- Field's position is known as **fictionalism**



Hartry Field

Field on Benacerraf

- The dilemma was meant to be this:
 - We are pulled towards platonism by our semantics...
 - ... but we are pulled towards nominalism by our epistemology
- Field entirely agrees with the idea that our epistemology pulls us towards nominalism
 - Field is a nominalist **because** he cannot see how we could know about (or refer to) abstract objects
- But he denies that our semantics pulls us towards platonism
 - Field agrees that we should read 'There is a prime number greater than 17' as a bona fide existential claim
 - So **if** 'There is a prime number greater than 17' is true, **then** numbers exist
 - But Field denies that it is true!

Field on Dispensability

- (1) We should believe our best scientific theories
 - (2) Mathematics is indispensable to our best scientific theories
 - (3) Our theories get confirmed or disconfirmed **as whole theories**
- ∴ (4) We should believe that there are some mathematical objects
- Field rejects premise (2)
 - According to Field, mathematics is **not** indispensable from science
 - Although scientists standardly use mathematics in their theories, you could formulate **nominalistic** versions of those theories which do not mention any numbers at all

Why should we Trust Mathematics?

- Although Field thinks that we **could** present nominalistic versions of our scientific theories, he is happy to admit that the mathematised are much easier to work with
- This raises a **big** question for fictionalism:
 - If our mathematical theories are **false**, then why should we trust mathematised science?
- Field's answer: because mathematics is **conservative** over our nominalistic theories
 - M is conservative over $N =_{df}$
for any nominalistic sentence ϕ , $M + N$ entails ϕ iff N entails ϕ

What is the Point of Mathematics?

- According to Field, mathematics is a **useful** fiction
- Mathematised science is much easier to work with than nominalistic science
- But because our mathematical theories are conservative over nominalistic theories, we know that mathematised science will never mislead us

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Science without Numbers

- In his landmark book, *Science without Numbers*, Field starts to work through some of the details of his programme
- He tried to do three things:
 - (1) Provide a nominalistic version of Newtonian Gravitational Theory
 - (2) Provide bridge laws between this nominalistic theory and mathematics
 - (3) Prove that mathematics is conservative over this nominalistic theory

Mathematised Newtonian Gravitational Theory

- Ordinary Newtonian Theory makes free use of mathematics
- We represent individual spacetime co-ordinates with quadruples of real numbers
- We represent regions of spacetime with sets of quadruples of real numbers
- We then use mathematical relations and functions to express the gravitational theory
 - For example, 'the gravitational potential of x ' expresses a function from quadruples of real numbers to real numbers

Nominalistic Newtonian Gravitational Theory

- Field's nominalistic Newtonian theory gets rid of all these mathematical entities
- Rather than using quadruples of real numbers to represent spacetime points, the theory just quantifies over the spacetime points themselves (which it treats as real objects)
- Rather than using sets of quadruples of real numbers to represent spacetime regions, the theory just quantifies over the spacetime regions themselves (which it treats as real objects)

Nominalistic Newtonian Gravitational Theory

- Rather than expressing the theory in terms of mathematical relations, it uses physical relations that hold between spacetime points and regions
 - For example, Field does not use 'the gravitational potential of x ', which expresses a **mathematical** function
 - Instead, he uses 'the difference in gravitational potential between x and y is less than that between z and w ', which expresses a **physical** relation between spacetime points

Nominalistic Newtonian Gravitational Theory

- It is generally accepted that Field's nominalistic Newtonian Gravitational Theory is **empirically equivalent** to ordinary, mathematised Newtonian Theory
- So on the face of it, Field has completed his first task:
 - (1) Provide a nominalistic version of Newtonian Gravitational Theory
- Now we turn to his second task:
 - (2) Provide bridge laws between this nominalistic theory and mathematics

Representation Theorems

- Field needs to provide us with bridge laws that connect his nominalistic Newtonian theory with mathematics
 - He needs those bridge laws to explain how we can apply mathematics to the nominalistic theory
- For Field, these bridge laws take the form of **representation theorems**
- These representation theorems tell us that we can use certain mathematical entities to represent certain physical states
 - We obviously have to use **mathematics** to prove these theorems
 - But that is not a problem if mathematics really is conservative over the nominalised Newtonian theory (more on that shortly)

Conservativeness

- We come now to Field's final task:
 - (3) Prove that mathematics is conservative over this nominalistic theory
- Field offers a philosophical reason for accepting (3):
 - Even the **platonist** would agree that it would be odd to think that claims about **abstract mathematical entities** could possibly have any consequences for **concrete physical entities**

Conservativeness

- But Field gives us more than that: he offers a **proof** of conservativeness
- We will come back to what exactly Field proved shortly
- First, we should note one odd fact about Field's proof:
 - In the course of offering this proof, Field helps himself to lots of mathematics, which is odd for a nominalist
 - Field's official line is that his argument is meant to convince his **opponents**, the platonists, that there is nothing wrong with his nominalism

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Spacetime Points

- There is a very clear sense in which Field simply replaces quadruples of real numbers with **spacetime points**
- But you might well wonder how much of an improvement that is, from a nominalist point of view
- On the face of it, spacetime points are not physical objects, and they are not things that we can interact with
- So how exactly are spacetime points any better than numbers?
- Indeed, lots of philosophers and physicists have been sceptical about the existence of spacetime points for broadly the same reasons that philosophers have been sceptical of numbers

Spacetime Points

- Field's response to this objection is to insist that, according to modern physics, we **do** causally interact with spacetime points
- Physics has for a long time posited fields (e.g. electromagnetic fields) which are spread out throughout spacetime
- According to Field, when a physicist says that an electromagnetic field has a given strength at a given spacetime point, we should understand them as attributing an electromagnetic strength **to the spacetime point**
- So spacetime points have causal powers, which is how we can interact with them, and thus know about them
- It goes without saying that this is a controversial way of thinking about fields, but it is by no means implausible

Other Scientific Theories

- Let's grant that Field has successfully nominalised Newtonian Gravitational Theory
- That is just one scientific theory (and an out of date one at that!)
- Field must nominalise **every** scientific theory we have
- Moreover, it is not at all clear that Field will be able to use his nominalisation of Newtonian Theory as a template
- In the Newtonian case, it was a simple matter of replacing quadruples of real numbers with spacetime points, but other theories (e.g. quantum mechanics) use very sophisticated, abstract mathematics
- It is not at all clear that we will be able to eliminate that kind of maths in favour of some physical counterpart

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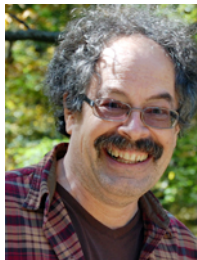
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Field versus Hilbert



Hartry Field

- There are clear similarities between Field's fictionalist programme and Hilbert's formalist programme
- Hilbert's programme was scuppered by Gödel's Incompleteness Theorems
- The Incompleteness Theorems pose a threat to Field's programme too



David Hilbert

Field and Hilbert: The Similarities

- Field and Hilbert both thought that lots of modern mathematics is not true
 - In one regard, Hilbert was more extreme than Field, because Hilbert thought that ideal mathematics is **meaningless**, whereas Field just thinks that it is false
 - In another regard, Field is more extreme than Hilbert, because Hilbert thinks that finitary maths is fine, but Field thinks that **all** of our mathematical theories are false
- Both Field and Hilbert wanted to explain why the dodgy mathematics is still useful by saying that it is a conservative extension of something in good order
 - For Hilbert, ideal maths is conservative over finitary maths
 - For Field, all maths is conservative over nominalistic science

Gödel's Incompleteness Theorems

- Hilbert's theory was destroyed by Gödel's Theorems
- Shaprio showed that those theorems also cause trouble for Field in his 'Conservativeness and Incompleteness'

Modelling Robinson Arithmetic

- Consider a line of spacetime points in Field's nominalistic Newtonian Theory
- This line has all of the structure of the mathematical real number line
- Consequently, we can model the natural number line within that line
 - The natural number line just has less structure than the real number line
- Moreover, we can formulate versions of all of the axioms of Robinson Arithmetic which apply to this model of the natural number line

Incompleteness and Conservativeness

- So if we assume that Field's nominalistic theory (N) is consistent and effectively axiomatisable, then Gödel's Second Incompleteness Theorem kicks in:
 - $N \not\vdash \text{Con}_N$
- However, if we help ourselves to enough maths, we can prove that N is consistent:
 - $M + N \vdash \text{Con}_N$
- So our mathematics is not conservative over N !

What did Field Prove?

- Earlier I told you that Field **proved** that mathematics is conservative over N
- What is going on?
- Conservativeness is defined in terms of **entailment**:
 - M is conservative over $N =_{df}$
for any nominalistic sentence ϕ , $M + N$ entails ϕ iff N entails ϕ
- But as I explained in Lecture 5, there are two notions of entailment:
 - Syntactic deducibility: \vdash
 - Semantic logical consequence: \models

What did Field Prove?

- So there are two different versions of conservativeness:
 - M is **deductively** conservative over $N =_{df}$
for any nominalistic sentence ϕ , $M + N \vdash \phi$ iff $N \vdash \phi$
 - M is **semantically** conservative over $N =_{df}$
for any nominalistic sentence ϕ , $M + N \models \phi$ iff $N \models \phi$
- What Field proved was that mathematics is **semantically** conservative over N
- What Shapiro proved (using Gödel's Theorems) was that mathematics is not **deductively** conservative over N

But How Is That Possible?

- You might be wondering how mathematics could be semantically conservative but not syntactically conservative
- (Some versions of) Field's nominalistic Newtonian Theory was **second-order**
- And as we saw in Lecture 5, the standard deductive system of second-order logic is not complete relative to the standard semantics:
 - It is not the case that for every sentence ϕ and every set of sentences Γ : $\Gamma \models \phi \rightarrow \Gamma \vdash \phi$

Field and Second-Order Logic

- It is not quite easy to say how bad this is for Field
- It certainly forces Field to introduce some elements into his system which do not look very **nominalistic**
- First off, second-order quantifiers are usually thought of as either quantifying over sets, or quantifying over properties
 - $\exists F Fa \Rightarrow$ there is some set F such that $a \in F$
 - $\exists F Fa \Rightarrow$ there is some property F such that a instantiates F
- Neither of these ways of reading second-order logic look good for a nominalist
 - Can we causally interact with sets or properties?

Field and Second-Order Logic

- Field tries to get out of this by reading second-order quantification as quantification over regions of spacetime points
 - $\exists F Fa \Rightarrow$ there is some region F such that a is in F
- However, this is a theorem of second-order logic:
 - $\exists x \phi x \rightarrow \exists F (Fx)$
- For Field, this says that if some spacetime point, x , is ϕ , then there is some region x is in
- But ϕ could be any predicate you like, even one which does not mention regions
- So it looks like pure logic is **introducing** commitment to regions, and Field thought logic shouldn't introduce **any** ontological commitments

Field and Logical Consequence

- It also isn't clear whether Field can really help himself to the notion of **semantic** logical consequence
- Logicians usually define this notion in terms of **sets**, but Field doesn't really believe in mathematical entities
- Field tries to get around this problem by defining logical consequence in terms of **logical possibility**
 - $\phi \vDash \psi =_{df} \neg \diamond (\phi \wedge \neg \psi)$
- Field then suggests that we should just take this notion of logical possibility as primitive

Field and Logical Consequence

- However, it really is not clear why a **nominalist** is allowed to take logical possibility as primitive
- We have no way of causally interacting with the merely “logically possible”
- So how can we know anything about logical possibility?
 - Remember, Field is distinguishing logical possibility from **consistency**, which is just a **syntactic** matter
- In particular, consider the claim: \diamond (there are infinitely many objects)
- How could Field ever know anything like that?

For the Seminar

- Required reading:
 - Field, 'Realism and Anti-Realism about Mathematics', ch. 2 in his *Realism, Mathematics and Modality*
 - MacBride (1999) 'Listening to Fictions', *The British Journal for the Philosophy of Science*, vol. 50 pp. 431–55
- You can find links to both of these on the VLE

For Next Week

- Next week we will be looking at structuralism
- Please read the following
 - *Shapiro* ch. 10
 - Benacerraf, 'What Numbers Could not Be' in *B&P*